

A Kripke Logical relation between ML & Assembly

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Observational equivalence between two languages

$$\begin{array}{ccc} L_1 & & L_2 \\ p_1 & \approx & p_2 \end{array}$$

Q: A good notion of program equivalence between L_1 and L_2 ?

Requirements

- ① type-indexed relations e.g.) $\lambda x.x \approx_{\text{int} \rightarrow \text{int}} \lambda x.x+0$
- ② adequate : $p_1 \approx p_2 \Rightarrow \text{obs}(\text{load}_{L_1}(p_1)) = \text{obs}(\text{load}_{L_2}(p_2)) \neq \text{error}$
- ③ compositional : $p_1 \approx_T p_2 \wedge K_1 \approx_{T \rightarrow S} K_2 \Rightarrow \text{link}_{L_1}(K_1, p_1) \approx_S \text{link}_{L_2}(K_2, p_2)$
- ④ extensional (informal concepts) : sufficiently populated

Application: Compositional Compiler Correctness

$$\begin{array}{cccc} \mathcal{H} & \text{(e.g. ML)} & \mathbb{V}_{\mathbf{P}}. & \mathbb{V}_{\mathbf{P}}. \\ & \approx_T & p : T & p : T \\ \mathcal{L} & \text{(e.g. assembly)} & \mathbb{D}_{\mathbf{P}, \mathbf{D}_1} & \mathbb{D}_{\mathbf{P}, \mathbf{D}_2} \\ & m & \leftarrow \text{hand-optimized code} \end{array}$$

$$\begin{array}{c} p \\ \approx_T \\ \mathbb{D}_{\mathbf{P}} \end{array} \wedge \begin{array}{c} q \\ \approx_{T \rightarrow S} \\ \mathbb{D}_{\mathbf{P}, \mathbf{D}_1} \end{array} \xrightarrow{\text{by comp}} \begin{array}{c} \text{link}_X(p, q) \\ \approx_S \\ \text{link}_X(\mathbb{D}_{\mathbf{P}}, m) \end{array} \xrightarrow{\text{by adj}} \begin{array}{c} \text{obs}(\text{load}_S(\text{link}_X(p, q))) \\ = \\ \text{obs}(\text{load}_S(\text{link}_X(\mathbb{D}_{\mathbf{P}}, m))) \end{array}$$

\neq error

Overview

- ↳ language-generic logical relation
- ↳ Logical relation between Assembly and $\lambda^{\forall \exists, \mu, \text{ref}}$
 - self modifying code
 - garbage collector
 - (Mark-Sweep, Copying)

Based on

 - Logical relation between SECD and $\lambda^{\text{fix}, \forall, \exists}$
(ICFP'09, MSR techrep : Benton & Hur) \rightsquigarrow Basic idea of Compositional Compiler Correctness
 - Logical relation on $\lambda^{\forall \exists, \mu, \text{ref}}$
(ICFP'10 : Dreyer, Neis & Birkedal) \rightsquigarrow possible worlds model as STS with priv. vs pub.
 - step-indexing (Appel & McAllister)
 - biorthogonality (Krivine ; Pitts & Stark)

Language : High

HIGH – Syntax & Semantics

$\tau ::= \alpha \mid b \mid \tau_1 \times \tau_2 \mid \tau_1 \rightarrow \tau_2 \mid \forall \alpha. \tau \mid \exists \alpha. \tau \mid \mu \alpha. \tau \mid \text{ref } \tau$

$e ::= x \mid \ell \mid \langle e_1, e_2 \rangle \mid e.1 \mid e.2 \mid \lambda x:\tau. e \mid e_1 \ e_2 \mid \Lambda \alpha. e \mid e \ \tau \mid$
 $\text{pack } \langle \tau_1, e \rangle \text{ as } \tau_2 \mid \text{unpack } e_1 \text{ as } \langle \alpha, x \rangle \text{ in } e_2 \mid$
 $\text{roll}_\tau e \mid \text{unroll } e \mid \text{ref } e \mid e_1 := e_2 \mid !e \mid e_1 == e_2 \mid \dots$

$v ::= x \mid \ell \mid \langle v_1, v_2 \rangle \mid \lambda x:\tau. e \mid \Lambda \alpha. e \mid \text{pack } \langle \tau_1, v \rangle \text{ as } \tau_2 \mid \text{roll}_\tau v \mid \dots$

$K ::= \bullet \mid \langle K, e_2 \rangle \mid \langle v_1, K \rangle \mid K.1 \mid K.2 \mid K \ e_2 \mid v_1 \ K \mid K \ \tau \mid \text{roll}_\tau K \mid$
 $\text{unroll } K \mid \text{pack } \langle \tau_1, K \rangle \text{ as } \tau_2 \mid \text{unpack } K \text{ as } \langle \alpha, x \rangle \text{ in } e_2 \mid$
 $\text{ref } K \mid K := e_2 \mid v_1 := K \mid !K \mid K == e_2 \mid v_1 == K \mid \dots$

$\Sigma ::= \cdot \mid \Sigma, \ell:\tau \text{ with } \text{ftv}(\tau) = \emptyset \quad \Delta ::= \cdot \mid \Delta, \alpha \quad \Gamma ::= \cdot \mid \Gamma, x:\tau$

Static semantics : $\Sigma; \Delta; \Gamma \vdash e : \tau$

$\text{HCVal} \stackrel{\text{def}}{=} \{ v \mid \text{ftv}(v) = \emptyset \wedge \text{fv}(v) = \emptyset \}$

$\text{HHeap} \stackrel{\text{def}}{=} \{ h \in \text{HLoc} \rightarrow_{\text{fin}} \text{HCVal} \}$

Dynamic semantics : $(h, e) \xrightarrow{} (h', e')$

Language : Low

LOW – Syntax

$PConf \stackrel{\text{def}}{=} \{ (\Phi, pc) \in PMem \times PAddr \}$

$PMem \stackrel{\text{def}}{=} \{ \Phi = (code, reg, stk, hp)$
 $\quad \in PCode \times RegFiles \times Stack \times Heap \}$

$PCode \stackrel{\text{def}}{=} PAddr \rightarrow Instruction \quad PRegFile \stackrel{\text{def}}{=} Register \rightarrow PWord$

$PStack \stackrel{\text{def}}{=} PAddr \rightarrow PWord \quad PHeap \stackrel{\text{def}}{=} PAddr \rightarrow PWord$

$PAddr \stackrel{\text{def}}{=} \{ a \in \mathbb{N} \} \quad PWord \stackrel{\text{def}}{=} \{ w \in \{ 0, 1 \} \times \mathbb{N} \}$

$r \in Register ::= sp | sv_0 | \dots | sv_4 | wk_0 | \dots | wk_5$

$lv \in PLvalue ::= [r] | \langle a \rangle_s | \langle r - o \rangle_s | \langle a \rangle_h | \langle r + o \rangle_h$

$rv \in PRvalue ::= lv | w$

$\iota \in Instruction ::= fail | halt | jmp rv | jnz rv rv | jneq rv rv rv |$
 $\quad jptr rv rv | setptr lv | move lv rv | plus lv rv rv |$
 $\quad minus lv rv rv | isr lv rv | isw rv rv$

Awkward example

let $x = \text{ref } 0$ in $\lambda f : \text{unit} \rightarrow \text{unit}. (x := 1 ; f \langle \rangle ; !x)$

\approx

$\lambda f : \text{unit} \rightarrow \text{unit}. (f \langle \rangle ; 1)$

$p \stackrel{\text{def}}{=} \lambda \text{ alloc, bg. } [$
 bg move [wk4] $\frac{\text{bg} + 3}{1}$
 move [wk5] $\frac{1}{\text{alloc}}$
 jmp
 bg + 3 move $\langle \text{wk}_5 + 0 \rangle_h$ $\frac{\text{bg} + 5}{[\text{wk}_0]}$

create a closure
 and return it

Motivating example

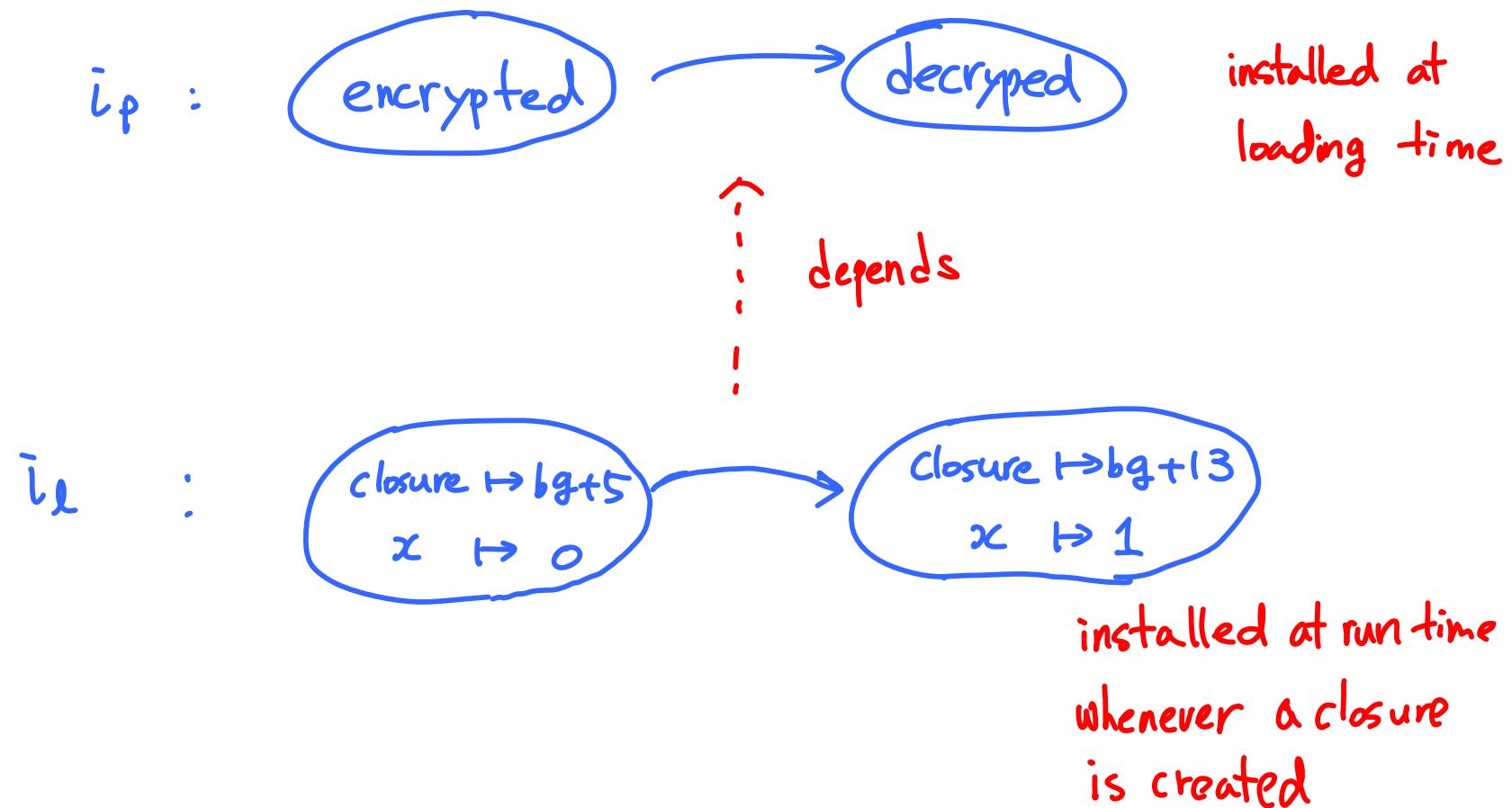
bg + 5	move	[wk3]	bg + 10	jmp <u>bg + 12</u>	decoding
bg + 6	isr	[wk4]	[wk3]	666	
	minus	[wk4]	[wk4]		
	isw	[wk3]	[wk4]		
	plus	[wk3]	[wk3]	$\frac{1}{(\text{bg} + 21) + 666}$	
bg + 10	$\mathbb{D}(\mathbb{E}(\text{jneq}$	bg + 6	[wk3]	$(\text{bg} + 21) + 666)$	
bg + 11	$\mathbb{D}(\mathbb{E}(\text{isw}$	$\frac{\text{bg} + 5}{\mathbb{E}(\text{jmp } \underline{\text{bg} + 12})}$		$) + 666)$	
bg + 12	$\mathbb{D}(\mathbb{E}(\text{move}$	$\langle \text{wk}_1 + 0 \rangle_h$	$\frac{\text{bg} + 13}{\mathbb{E}(\text{jmp } \underline{\text{bg} + 12})}$	$) + 666)$	
bg + 13	$\mathbb{D}(\mathbb{E}(\text{plus}$	[sp]	[sp]	$\frac{1}{(\text{bg} + 21) + 666}$	$\lambda f. f(); 1$
	$\mathbb{D}(\mathbb{E}(\text{move}$	$\langle \text{sp} - 1 \rangle_s$	[wk0]	$) + 666)$	
	$\mathbb{D}(\mathbb{E}(\text{move}$	[wk1]	[wk2]	$) + 666)$	
	$\mathbb{D}(\mathbb{E}(\text{move}$	[wk0]	$\frac{1}{\text{bg} + 18}$	$) + 666)$	
	$\mathbb{D}(\mathbb{E}(\text{jmp}$	$\langle \text{wk}_1 + 0 \rangle_h$		$) + 666)$	
bg + 18	$\mathbb{D}(\mathbb{E}(\text{move}$	[wk5]	$\frac{1}{\text{bg} + 18}$	$) + 666)$	
	$\mathbb{D}(\mathbb{E}(\text{minus}$	[sp]	[sp]	$) + 666)$	
bg + 20	$\mathbb{D}(\mathbb{E}(\text{jmp}$	$\langle \text{sp} - 0 \rangle_s$		$) + 666)$	

Key ideas

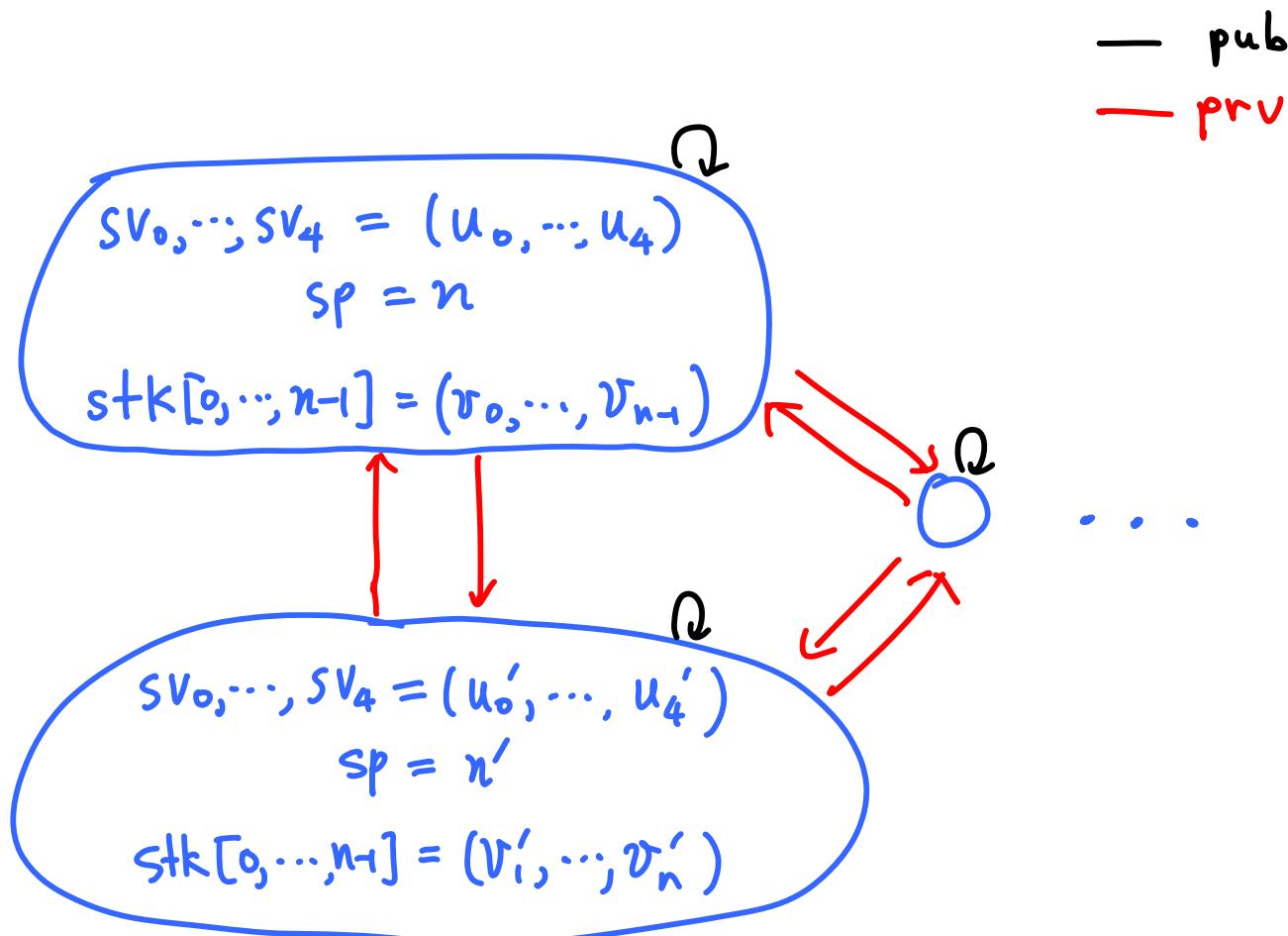
- Island for program code
- Island for closures and local states
- Island for stack and registers
- Garbage Collection

Islands for code, closures & local states

$e = \text{let } x := \text{ref } 0 \text{ in } (x := 1 ; f \langle \rangle ; !x)$ $p = \dots$



Island for Stack & Registers



Garbage Collection

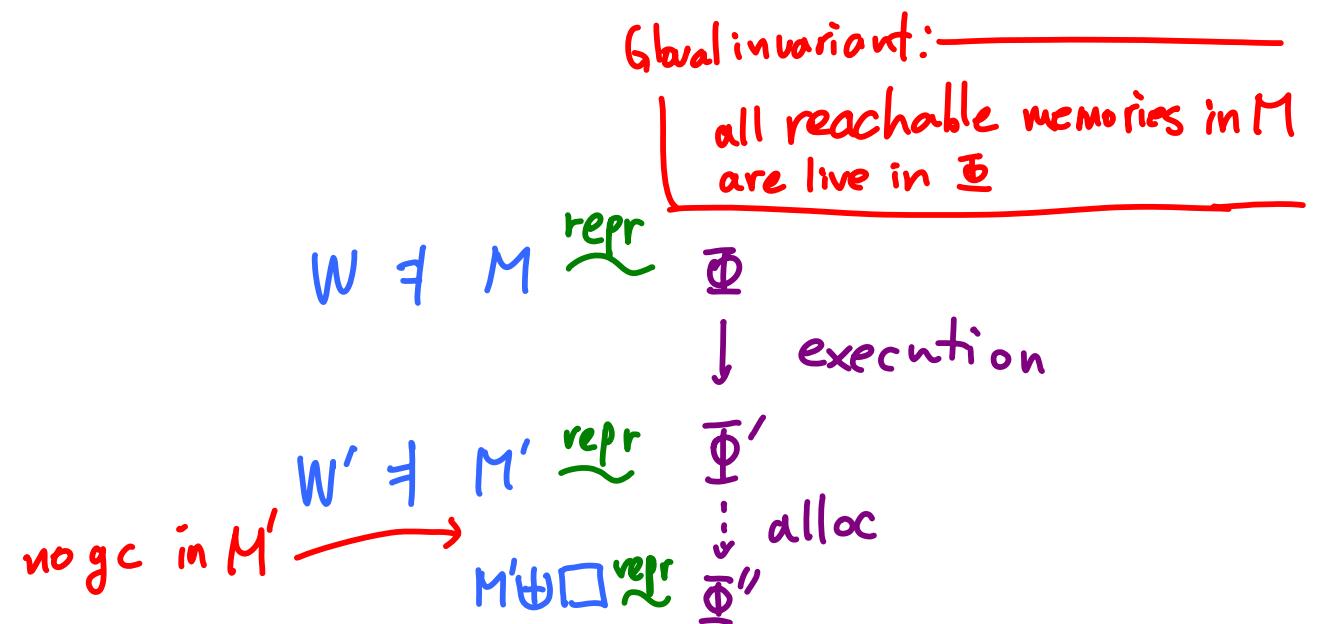
Problem

$l \mapsto 3$

- if l is collected ?
- if l is relocated ?

Solution

Logical Memory !
(almost zero overhead)



Language and World Specifications

$\tau ::= \alpha \mid b \mid \tau_1 \times \tau_2 \mid \tau_1 \rightarrow \tau_2 \mid \forall \alpha. \tau \mid \exists \alpha. \tau \mid \mu \alpha. \tau \mid \text{ref } \tau$	$\text{ CType } \stackrel{\text{def}}{=} \{ \tau \mid \text{ftv}(\tau) = \emptyset \}$ $\text{LangSpec} \stackrel{\text{def}}{=} \{ (\text{Val}, \text{Com}, \text{Cont}, \text{Mem}, \text{Conf},$ $\text{plugv}, \text{plugc}, \text{step}, \text{mdom}, \text{mdisj},$ $\text{oftype}, \text{base}_b, \text{pair}, \text{app}, \text{appty},$ $\text{pack}, \text{roll}, \text{ref}, \text{asgn}) \mid$ $\text{Val}, \text{Com}, \text{Cont}, \text{Mem}, \text{Conf} \in \text{Set} \wedge$ $\text{plugv} \in \text{Val} \times \text{Cont} \times \text{Mem} \rightarrow \mathbb{P}(\text{Conf}) \wedge$ $\text{plugc} \in \text{Com} \times \text{Cont} \times \text{Mem} \rightarrow \mathbb{P}(\text{Conf}) \wedge$ $\text{step} \in \text{Conf} \rightarrow \text{Conf} \uplus \{ \text{fail}, \text{halt} \} \wedge$ $\text{mdom} \in \text{Mem} \rightarrow \mathbb{P}(\text{Val}) \wedge$ $\text{mdisj} \in \text{Mem} \times \text{Mem} \rightarrow \mathbb{P}(\text{Mem}) \wedge$ $\text{oftype} \in \text{CType} \rightarrow \mathbb{P}(\text{Val} \times \text{Mem}) \wedge$ $\text{base}_b \in [b] \rightarrow \mathbb{P}(\text{Val} \times \text{Mem}) \wedge$ $\text{pair} \in \text{Val} \times \text{Val} \rightarrow \mathbb{P}(\text{Val} \times \text{Mem}) \wedge$ $\text{app} \in \text{Val} \times \text{Val} \rightarrow \mathbb{P}(\text{Com}) \wedge$ $\text{appty} \in \text{Val} \times \text{CType} \rightarrow \mathbb{P}(\text{Com}) \wedge$ $\text{pack} \in \text{CType} \times \text{Val} \rightarrow \mathbb{P}(\text{Val} \times \text{Mem}) \wedge$ $\text{roll} \in \text{Val} \rightarrow \mathbb{P}(\text{Val} \times \text{Mem}) \wedge$ $\text{ref} \in \text{Val} \rightarrow \mathbb{P}(\text{Val} \times \text{Mem}) \wedge$ $\text{asgn} \in \text{Mem} \times \text{Val} \times \text{Val} \rightarrow \text{Mem} \wedge$ $\forall M_1, M_2. \forall M \in \text{mdisj}(M_1, M_2).$ $\text{mdom}(M) \supseteq \text{mdom}(M_1) \uplus \text{mdom}(M_2) \}$	For $\mathcal{L}_1, \mathcal{L}_2 \in \text{LangSpec}$, $\text{WorldSpec} \stackrel{\text{def}}{=} \{ (\text{World}, \text{lev}, \mathcal{M}, \mathcal{B}, \mathcal{O}, \triangleright, \sqsupseteq, \sqsupseteq_{\text{pub}}) \mid$ $\text{World} \in \text{Set} \wedge$ $\text{lev} \in \text{World} \rightarrow \mathbb{N} \wedge$ $\mathcal{M} \in \text{World} \rightarrow \mathbb{P}(\mathcal{L}_1.\text{Mem} \times \mathcal{L}_2.\text{Mem}) \wedge$ $\mathcal{B} \in \text{World} \rightarrow \mathbb{P}(\mathcal{L}_1.\text{Val} \times \mathcal{L}_2.\text{Val}) \wedge$ $\mathcal{O} \in \text{World} \rightarrow \mathbb{P}(\mathcal{L}_1.\text{Conf} \times \mathcal{L}_2.\text{Conf}) \wedge$ $\triangleright \in \text{World} \rightarrow \text{World} \wedge$ $\sqsupseteq \in \mathbb{P}(\text{World} \times \text{World}) \wedge$ $\sqsupseteq_{\text{pub}} \in \mathbb{P}(\text{World} \times \text{World}) \wedge$ $\sqsupseteq, \sqsupseteq_{\text{pub}} \text{ are preorders} \wedge \sqsupseteq_{\text{pub}} \subseteq \sqsupseteq \wedge$ $\forall W' \sqsupseteq W. \triangleright W' \sqsupseteq \triangleright W \wedge$ $\forall W' \sqsupseteq_{\text{pub}} W. \triangleright W' \sqsupseteq_{\text{pub}} \triangleright W \wedge$ $\forall W. \triangleright W \sqsupseteq_{\text{pub}} W \wedge$ $\forall W'. \sqsupseteq W. \text{lev}(W') \leq \text{lev}(W) \wedge$ $\forall W. \text{lev}(W) > 0 \implies \text{lev}(\triangleright W) = \text{lev}(W) - 1 \}$
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$\mathcal{V}[\alpha]\rho$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \in \text{oftype}(\alpha, \rho) \mid (W, v_1, v_2) \in \square\rho(\alpha).R\}$
$\mathcal{V}[b]\rho$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \in \text{oftype}(b, \rho) \mid \exists x \in \llbracket b \rrbracket. (W, v_1, v_2) \in \square(\mathcal{L}_1.\text{base}_b(x), \mathcal{L}_2.\text{base}_b(x))\}$
$\mathcal{V}[\tau \times \tau']\rho$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \in \text{oftype}(\tau \times \tau', \rho) \mid \exists(u_1, u_2) \in \triangleright\mathcal{V}[\tau]\rho(W). \exists(u'_1, u'_2) \in \triangleright\mathcal{V}[\tau']\rho(W). (W, v_1, v_2) \in \square(\mathcal{L}_1.\text{pair}(u_1, u'_1), \mathcal{L}_2.\text{pair}(u_2, u'_2))\}$
$\mathcal{V}[\tau' \rightarrow \tau]\rho$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \in \text{oftype}(\tau' \rightarrow \tau, \rho) \mid \forall W' \sqsupseteq_W. \forall(u_1, u_2) \in \mathcal{V}[\tau']\rho(W'). \forall e_1 \in \mathcal{L}_1.\text{app}(v_1, u_1). \forall e_2 \in \mathcal{L}_2.\text{app}(v_2, u_2). (W', e_1, e_2) \in \mathcal{E}[\tau]\rho\}$
$\mathcal{V}[\forall \alpha. \tau]\rho$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \in \text{oftype}(\forall \alpha. \tau, \rho) \mid \forall W' \sqsupseteq_W. \forall(\tau_1, \tau_2, R) \in \text{TyValRel}. \forall e_1 \in \mathcal{L}_1.\text{appty}(v_1, \tau_1). \forall e_2 \in \mathcal{L}_2.\text{appty}(v_2, \tau_2). (W', e_1, e_2) \in \mathcal{E}[\tau]\rho[\alpha \mapsto (\tau_1, \tau_2, R)]\}$
$\mathcal{V}[\exists \alpha. \tau]\rho$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \in \text{oftype}(\exists \alpha. \tau, \rho) \mid \exists(\tau_1, \tau_2, R) \in \text{TyValRel}. \exists(u_1, u_2) \in \mathcal{V}[\tau]\rho[\alpha \mapsto (\tau_1, \tau_2, R)](W). (W, v_1, v_2) \in \square(\mathcal{L}_1.\text{pack}(\tau_1, u_1), \mathcal{L}_2.\text{pack}(\tau_2, u_2))\}$
$\mathcal{V}[\text{ref } \tau]\rho$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \in \text{oftype}(\text{ref } \tau, \rho) \mid \forall W' \sqsupseteq_W. \forall(M_1, M_2) \in \mathcal{M}(W'). (v_1, v_2) \in \mathcal{B}(W') \wedge (\exists(u_1, u_2) \in \triangleright\mathcal{V}[\tau]\rho(W'). (v_1, M_1) \in \mathcal{L}_1.\text{ref}(u_1) \wedge (v_2, M_2) \in \mathcal{L}_2.\text{ref}(u_2)) \wedge (\forall(u_1, u_2) \in \triangleright\mathcal{V}[\tau]\rho(W'). (\mathcal{L}_1.\text{asgn}(M_1, v_1, u_1), \mathcal{L}_2.\text{asgn}(M_2, v_2, u_2)) \in \mathcal{M}(W'))\}$
$\mathcal{V}[\mu \alpha. \tau]\rho$	$\stackrel{\text{def}}{=} \mu(F_{\alpha, \tau, \rho})$
$F_{\alpha, \tau, \rho}$	$\stackrel{\text{def}}{=} \lambda R. \{(W, v_1, v_2) \in \text{oftype}(\mu \alpha. \tau, \rho) \mid \exists(u_1, u_2) \in \mathcal{V}[\tau]\rho[\alpha \mapsto (\rho_1(\mu \alpha. \tau), \rho_2(\mu \alpha. \tau), R)](W). (W, v_1, v_2) \in \square(\mathcal{L}_1.\text{roll}(u_1), \mathcal{L}_2.\text{roll}(u_2))\}$
$\mu(F)(W)$	$\stackrel{\text{def}}{=} F(\mu(F) \sqsupseteq_W)(W)$
$\mathcal{K}[\tau]\rho$	$\stackrel{\text{def}}{=} \{(W, K_1, K_2) \in \text{World} \times \mathcal{L}_1.\text{Cont} \times \mathcal{L}_2.\text{Cont} \mid \forall W' \sqsupseteq_{\text{pub}} W. \forall(v_1, v_2) \in \mathcal{V}[\tau]\rho(W'). \forall(M_1, M_2) \in \mathcal{M}(W'). \forall C_1 \in \mathcal{L}_1.\text{plugv}(v_1, K_1, M_1). \forall C_2 \in \mathcal{L}_2.\text{plugv}(v_2, K_2, M_2). (C_1, C_2) \in \mathcal{O}(W')\}$
$\mathcal{E}[\tau]\rho$	$\stackrel{\text{def}}{=} \{(W, e_1, e_2) \in \text{World} \times \mathcal{L}_1.\text{Com} \times \mathcal{L}_2.\text{Com} \mid \forall(K_1, K_2) \in \mathcal{K}[\tau]\rho(W). \forall(M_1, M_2) \in \mathcal{M}(W). \forall C_1 \in \mathcal{L}_1.\text{pluge}(e_1, K_1, M_1). \forall C_2 \in \mathcal{L}_2.\text{pluge}(e_2, K_2, M_2). (C_1, C_2) \in \mathcal{O}(W)\}$

Logical relation

Thm (Monotonicity)

$v_1 \approx_{\tau} v_2 : W \Rightarrow \forall W' \exists W. v_1 \approx_{\tau} v_2 : W'$

\sqsupseteq	$\stackrel{\text{def}}{=} \{(W', W) \mid \text{lev}(W) > 0 \wedge W' \sqsupseteq \triangleright W\}$
WVRel	$\stackrel{\text{def}}{=} \{R \in \mathbb{P}(\text{World} \times \mathcal{L}_1.\text{Val} \times \mathcal{L}_2.\text{Val})\}$
$R(W)$	$\stackrel{\text{def}}{=} \{(v_1, v_2) \mid (W, v_1, v_2) \in R\}$
$\triangleright R$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \mid \text{lev}(W) > 0 \Rightarrow (\triangleright W, v_1, v_2) \in R\}$
$\square R$	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \mid \forall W' \sqsupseteq W. (W', v_1, v_2) \in R\}$
R_{\sqsupseteq_W}	$\stackrel{\text{def}}{=} \{(W', v_1, v_2) \mid W' \sqsupseteq_W \wedge (W', v_1, v_2) \in R\}$
(R_1, R_2)	$\stackrel{\text{def}}{=} \{(W, v_1, v_2) \mid \forall(M_1, M_2) \in \mathcal{M}(W). (v_1, M_1) \in R_1 \wedge (v_2, M_2) \in R_2\}$ for $R_1 \in \mathbb{P}(\mathcal{L}_1.\text{Val} \times \mathcal{L}_1.\text{Mem}), R_2 \in \mathbb{P}(\mathcal{L}_2.\text{Val} \times \mathcal{L}_2.\text{Mem})$
TyValRel	$\stackrel{\text{def}}{=} \{(\tau_1, \tau_2, R) \mid \tau_1, \tau_2 \in \text{CType} \wedge R \in \text{WVRel}\}$
ρ	$\in \text{TypeVar} \rightarrow \text{TyValRel}$
$\rho_1(\tau)$	$\stackrel{\text{def}}{=} \tau[\rho(\alpha). \tau_1 / \alpha]$
$\rho_2(\tau)$	$\stackrel{\text{def}}{=} \tau[\rho(\alpha). \tau_2 / \alpha]$
$\text{oftype}(\tau, \rho)$	$\stackrel{\text{def}}{=} \square(\mathcal{L}_1.\text{oftype}(\rho_1(\tau)), \mathcal{L}_2.\text{oftype}(\rho_2(\tau)))$

Logical Relation : details

$$\mathcal{V}[\tau' \rightarrow \tau]\rho \stackrel{\text{def}}{=} \{ (W, \mathbf{v}_1, v_2) \in \text{oftype}(\tau' \rightarrow \tau, \rho) \mid \forall W' \sqsupseteq W. \forall (\mathbf{u}_1, u_2) \in \mathcal{V}[\tau']\rho(W') \\ \forall e_1 \in \mathcal{L}_1.\text{app}(\mathbf{v}_1, \mathbf{u}_1). \forall e_2 \in \mathcal{L}_2.\text{app}(v_2, u_2). (W', e_1, e_2) \in \mathcal{E}[\tau]\rho \}$$

$$\mathcal{V}[\text{ref } \tau]\rho \stackrel{\text{def}}{=} \{ (W, \mathbf{v}_1, v_2) \in \text{oftype}(\text{ref } \tau, \rho) \mid \forall W' \sqsupseteq W. \forall (\mathbf{M}_1, M_2) \in \mathcal{M}(W'). \\ (\mathbf{v}_1, v_2) \in \mathcal{B}(W') \wedge \\ (\exists (\mathbf{u}_1, u_2) \in \triangleright \mathcal{V}[\tau]\rho(W'). (\mathbf{v}_1, \mathbf{M}_1) \in \mathcal{L}_1.\text{ref}(\mathbf{u}_1) \wedge (v_2, M_2) \in \mathcal{L}_2.\text{ref}(u_2)) \wedge \\ (\forall (\mathbf{u}_1, u_2) \in \triangleright \mathcal{V}[\tau]\rho(W'). (\mathcal{L}_1.\text{asgn}(\mathbf{M}_1, \mathbf{v}_1, \mathbf{u}_1), \mathcal{L}_2.\text{asgn}(M_2, v_2, u_2)) \in \mathcal{M}(W')) \}$$

supports both (iso-recursive types \rightsquigarrow High
equi-recursive types \rightsquigarrow Low

language-generic world model

$\text{World} : \text{LangSpec} \times \text{LangSpec} \rightarrow \text{WorldSpec}$

↳ a functor implementing

Dreyer et al.'s possible worlds model

It can be built on arbitrary LangSpecs !

Loc	$\stackrel{\text{def}}{=} \{ l \in \mathbb{N} \}$
Word	$\stackrel{\text{def}}{=} \{ w \in \mathbb{N} \}$
$v \in \text{Val}$	$::= \underline{w} \mid \hat{l}$
$lv \in \text{Lvalue}$	$::= [r] \mid \langle a \rangle_s \mid \langle r - o \rangle_s \mid \langle l : o \rangle_h \mid \langle r + o \rangle_h$
$rv \in \text{Rvalue}$	$::= lv \mid v$
Com	$\stackrel{\text{def}}{=} \{ e = (cpc, kpc, vloc, data) \in Rvalue \times Rvalue \times Lvalue \times \mathbb{P}(\text{Mem}) \}$
Cont	$\stackrel{\text{def}}{=} \{ K = (kpc, vloc) \in PAddr \times Lvalue \}$
CodeFrag	$\stackrel{\text{def}}{=} PAddr \rightarrow_{\text{fin}} \text{Instruction}$
RegFiles	$\stackrel{\text{def}}{=} (\text{Register} \setminus \{sp\} \rightarrow \text{Val}) \uplus \{ \text{undef} \}$
List X	$\stackrel{\text{def}}{=} \{ (x_0, \dots, x_{n-1}) \mid n \in \mathbb{N} \wedge x_0, \dots, x_{n-1} \in X \}$
Stack	$\stackrel{\text{def}}{=} \text{List Val} \uplus \{ \text{undef} \}$
Heap	$\stackrel{\text{def}}{=} \text{Loc} \rightarrow_{\text{fin}} \text{List Val}$
Table	$\stackrel{\text{def}}{=} (\text{Loc} \rightarrow \mathbb{N} \times PAddr) \uplus \{ \text{undef} \}$
SysHeap	$\stackrel{\text{def}}{=} (PAddr \rightarrow \text{Word}) \uplus \{ \text{undef} \}$
Mem	$\stackrel{\text{def}}{=} \{ M = (code, reg, stk, hp, tab, shp) \in \text{CodeFrag} \times \text{RegFiles} \times \text{Stack} \times \text{Heap} \times \text{Table} \times \text{SysHeap} \}$
Conf	$\stackrel{\text{def}}{=} PConf$
$\text{plugv}(v, K, M)$	$\stackrel{\text{def}}{=} \{ (\Phi, pc) \in \text{Conf} \mid M \text{ repr } \Phi \wedge pc = K.kpc \wedge M(K.vloc) = v \}$
$\text{plugc}(e, K, M)$	$\stackrel{\text{def}}{=} \{ (\Phi, pc) \in \text{Conf} \mid M \text{ repr } \Phi \wedge M \in e.\text{data} \wedge pc = M(e.cpc) \wedge M(e.kpc) = \underline{K.kpc} \wedge e.vloc = \underline{K.vloc} \}$

Low Spec

$$\begin{aligned}
\text{oftype}(\tau) &\stackrel{\text{def}}{=} \{ (\mathbf{v}, \mathbf{M}) \in \text{Val} \times \text{Mem} \mid \\
&\quad \forall \tau_1, \tau_2. \tau = \tau_1 \rightarrow \tau_2 \implies \exists \mathbf{l}, w. \mathbf{v} = \widehat{\mathbf{l}} \wedge \mathbf{M}.hp(\mathbf{l})(0) = \underline{w} \wedge \\
&\quad \forall \alpha, \tau'. \tau = \forall \alpha. \tau' \implies \exists \mathbf{l}, w. \mathbf{v} = \widehat{\mathbf{l}} \wedge \mathbf{M}.hp(\mathbf{l})(0) = \underline{w} \} \\
\text{base}_b(x) &\stackrel{\text{def}}{=} \{ (\mathbf{v}, \mathbf{M}) \in \text{Val} \times \text{Mem} \mid \mathbf{v} \text{ is a representation of } x \} \\
\text{pair}(\mathbf{v}_1, \mathbf{v}_2) &\stackrel{\text{def}}{=} \{ (\mathbf{v}, \mathbf{M}) \in \text{Val} \times \text{Mem} \mid \exists \mathbf{l}. \mathbf{v} = \widehat{\mathbf{l}} \wedge \\
&\quad \mathbf{M}.hp(\mathbf{l})(0) = \mathbf{v}_1 \wedge \mathbf{M}.hp(\mathbf{l})(1) = \mathbf{v}_2 \} \\
\text{app}(\mathbf{v}_1, \mathbf{v}_2) &\stackrel{\text{def}}{=} \{ \mathbf{e} \in \text{Com} \mid \exists \mathbf{l}. \mathbf{v}_1 = \widehat{\mathbf{l}} \wedge \\
&\quad \mathbf{e}.cpc = \langle \mathbf{l} : 0 \rangle_h \wedge \mathbf{e}.kpc = \lfloor wk_0 \rfloor \wedge \mathbf{e}.vloc = \lfloor wk_5 \rfloor \wedge \\
&\quad \mathbf{e}.data = \{ \mathbf{M} \in \text{Mem} \mid \mathbf{M}.reg(wk_1) = \mathbf{v}_1 \wedge \mathbf{M}.reg(wk_2) = \mathbf{v}_2 \} \} \\
\text{appty}(\mathbf{v}, \tau) &\stackrel{\text{def}}{=} \{ \mathbf{e} \in \text{Com} \mid \exists \mathbf{l}. \mathbf{v} = \widehat{\mathbf{l}} \wedge \\
&\quad \mathbf{e}.cpc = \langle \mathbf{l} : 0 \rangle_h \wedge \mathbf{e}.kpc = \lfloor wk_0 \rfloor \wedge \mathbf{e}.vloc = \lfloor wk_5 \rfloor \wedge \\
&\quad \mathbf{e}.data = \{ \mathbf{M} \in \text{Mem} \mid \mathbf{M}.reg(wk_1) = \mathbf{v} \} \} \\
\text{pack}(\tau, \mathbf{v}) &\stackrel{\text{def}}{=} \{ (\mathbf{v}', \mathbf{M}) \in \text{Val} \times \text{Mem} \mid \mathbf{v}' = \mathbf{v} \} \\
\text{roll}(\mathbf{v}) &\stackrel{\text{def}}{=} \{ (\mathbf{v}', \mathbf{M}) \in \text{Val} \times \text{Mem} \mid \mathbf{v}' = \mathbf{v} \} \\
\text{ref}(\mathbf{v}) &\stackrel{\text{def}}{=} \{ (\mathbf{v}', \mathbf{M}) \in \text{Val} \times \text{Mem} \mid \exists \mathbf{l}. \mathbf{v}' = \widehat{\mathbf{l}} \wedge \mathbf{M}.hp(\mathbf{l})(0) = \mathbf{v} \} \\
\text{asgn}(\mathbf{M}, \mathbf{v}_1, \mathbf{v}_2) &\stackrel{\text{def}}{=} \begin{cases} \mathbf{M}[\mathbf{l} : 0 \mapsto \mathbf{v}_2]_{hp} & \text{if } \mathbf{v}_1 = \widehat{\mathbf{l}} \wedge |\mathbf{M}.hp(\mathbf{l})| > 0 \\ \text{undef} & \text{otherwise} \end{cases}
\end{aligned}$$

Specification of GC

$$\begin{aligned}
 v \text{ live in } M &\stackrel{\text{def}}{=} \begin{cases} \top & \text{if } v = w \\ \exists n, a. M.\text{tab}(l) = (n, a) \wedge n > 0 & \text{if } v = \hat{l} \end{cases} \\
 \text{reach}_0(M) &\stackrel{\text{def}}{=} \{ l \mid \exists r \in \text{Register}. \hat{l} = M.\text{reg}(r) \} \cup \\
 &\quad \{ l \mid \exists j < |M.\text{stk}|. \hat{l} = M.\text{stk}(j) \} \\
 \text{reach}_{i+1}(M) &\stackrel{\text{def}}{=} \text{reach}_i(M) \cup \\
 &\quad \{ l \mid \exists l' \in \text{reach}_i(M). \exists j. \hat{l} = M.\text{hp}(l')(j) \} \\
 \text{reach}(M) &\stackrel{\text{def}}{=} \bigcup_{i \in \mathbb{N}} \text{reach}_i(M) \\
 \text{GCSpec} &\stackrel{\text{def}}{=} \\
 &\{ G \in \text{PAddr} \rightarrow \{ (\text{init}, \text{alloc}, \text{code}, I) \\
 &\quad \in \text{PAddr} \times \text{PAddr} \times \text{List Instruction} \times \\
 &\quad \mathbb{P}(\text{Table} \times \text{SysHeap}) \} \mid \\
 &\forall gcbg, \Phi, pc. \\
 &\Phi.\text{code} \supseteq [gcbg \mapsto G(gcbg).\text{code}] \wedge \Phi.\text{reg}(wk_4) = \underline{pc} \implies \\
 &\exists M', \Phi'. \\
 &(\Phi, G(gcbg).\text{init}) \xrightarrow{*} (\Phi', pc) \wedge \\
 &\Phi'.\text{code} = \Phi.\text{code} \wedge M'.\text{code} = [gcbg \mapsto G(gcbg).\text{code}] \wedge \\
 &M' \text{ repr } \Phi' \wedge M' \in G.GR(gcbg) \wedge M' \in G.MR(gcbg) \wedge \\
 &\forall gcbg, M, \Phi, pc, n. \\
 &M \text{ repr } \Phi \wedge M \in G.GR(gcbg) \wedge M \in G.MR(gcbg) \wedge \\
 &M.\text{reg}(wk_4) = \underline{pc} \wedge M.\text{reg}(wk_5) = \underline{n} \implies \\
 &\exists \Phi', M', T, S, w, \hat{l}, w_0, \dots, w_{n-1}. \\
 &(\Phi, G(gcbg).\text{alloc}) \xrightarrow{*} (\Phi', pc) \wedge \\
 &M' \text{ repr } \Phi' \wedge M' \in G.GR(gcbg) \wedge M' \in G.MR(gcbg) \wedge \\
 &M' = M[[T, S]][wk_4 \mapsto \underline{w}]\text{reg}[wk_5 \mapsto \hat{l}]\text{reg} \uplus \\
 &\quad [l \mapsto (\underline{w_0}, \dots, \underline{w_{n-1}})]\text{hp} \} \\
 G.GR(gcbg) &\stackrel{\text{def}}{=} \{ M \in \text{Mem} \mid \forall l \in \text{reach}(M). \hat{l} \text{ live in } M \} \\
 G.MR(gcbg) &\stackrel{\text{def}}{=} \{ M \in \text{Mem} \mid (M.\text{tab}, M.\text{shp}) \in G(gcbg).I \wedge \\
 &\quad M.\text{code} \supseteq [gcbg \mapsto G(gcbg).\text{code}] \}
 \end{aligned}$$

Mark-Sweep & Copying GC

satisfy

Spec of init

Spec of alloc

→ all reachable memories are live
 → private invariant of GC

Program Equivalence

$$\mathcal{H}.\text{Prog} \stackrel{\text{def}}{=} \{ e \mid \text{floc}(e) = \emptyset \}$$

$$\mathcal{L}.\text{Prog} \stackrel{\text{def}}{=} \{ p \in \text{PAddr} \times \text{PAddr} \rightarrow \text{List Instruction} \}$$

$$\mathcal{D}[\cdot] \stackrel{\text{def}}{=} \emptyset$$

$$\mathcal{D}[\Delta, \alpha] \stackrel{\text{def}}{=} \{ (\rho, \alpha \mapsto R) \mid \rho \in \mathcal{D}[\Delta] \wedge R \in \text{TyValRel} \}$$

$$\mathcal{G}[\cdot]\rho \stackrel{\text{def}}{=} \{ (W, \mathbf{v}, \emptyset) \mid W \in \text{World} \wedge \mathbf{v} \in \mathcal{L}.\text{Val} \}$$

$$\begin{aligned} \mathcal{G}[\Gamma, x : \tau]\rho \stackrel{\text{def}}{=} & \{ (W, \mathbf{v}, (\gamma, x \mapsto v)) \mid \exists \mathbf{v}_1, \mathbf{v}_2. \\ & (W, \mathbf{v}, \langle \rangle) \in \overline{\square(\mathcal{L}.\text{pair}(\mathbf{v}_1, \mathbf{v}_2), \mathcal{H}.\text{Val} \times \mathcal{H}.\text{Mem})} \wedge \\ & (W, \mathbf{v}_1, v) \in \mathcal{V}[\tau]\rho \wedge (W, \mathbf{v}_2, \gamma) \in \mathcal{G}[\Gamma]\rho \} \end{aligned}$$

$$W_k^o(\mathcal{G}, \text{gcbg}) \stackrel{\text{def}}{=} (k, [\iota^{\text{regstk}}, \iota^{\text{htyping}}, \iota^{\text{gc}}(\mathcal{G}, \text{gcbg})], GR^o(\mathcal{G}, \text{gcbg}))$$

$$\Delta ; \Gamma \vdash p \approx e : \tau \stackrel{\text{def}}{=}$$

$$\emptyset ; \Delta ; \Gamma \vdash e : \tau \wedge$$

$$\forall \mathcal{G}, \text{gcbg}, \text{bg}. \forall k, W \sqsupseteq W_k^o(\mathcal{G}, \text{gcbg}). \forall (\mathbf{M}, M) \in \mathcal{M}(W).$$

$$\forall \mathbf{M}' . \mathbf{M}' = \mathbf{M} \uplus [\text{bg} \mapsto p(\mathcal{G}(\text{gcbg}).\text{alloc}, \text{bg})]_{\text{code}} \implies$$

$$\exists W' \sqsupseteq W. \text{lev}(W') = \text{lev}(W) \wedge (\mathbf{M}', M) \in \mathcal{M}(W') \wedge$$

$$\forall W'' \sqsupseteq W'. \forall \rho \in \mathcal{D}[\Delta]. \forall (\mathbf{v}, \gamma) \in \mathcal{G}[\Gamma]\rho(W'').$$

$$((\underline{\text{bg}}, \lfloor \text{wk}_0 \rfloor, \lfloor \text{wk}_5 \rfloor, \{ \mathbf{M} \mid \mathbf{M}.\text{reg}(\text{sv}_0) = \mathbf{v} \}), \gamma \rho e) \in \mathcal{E}[\tau]\rho(W'')$$

where $\gamma \rho e ::= e[\rho(\alpha).\tau_2/\alpha][\gamma(x)/x]$.

Results

$\Delta; \Gamma \vdash p \approx e : \tau$

- ① Adequacy
- ② Compositionality
- ③ Compiler Correctness for a simple compiler

Summary

Summary

- Language-generic logical relation
- Adg & Compositional relation between Low & High
- use of Logical Memory for G.C.
- Compositional Compiler Correctness

Comments : Low-Low, High-High relations : no problem !
Generational GC : no problem !

Future work

- Multi-phase compiler
- Cog formalization
- Concurrency
- Self-modifying code applications :
 - dynamic linking & loading ; dynamic code generation
 - JIT compiler
- input, output
- other languages
 - Assem - C , C - ML

Thank you !!